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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KIGUCHI, et al.

Serial No.: Not Yet Assigned

Filed: On Even Date

For: OPTICAL APPARATUSES USING THE NEAR-FIELD LIGHT

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 & 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

January 16, 2002

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by the discussion of these documents in the specification, for example, on pages three (3) and four (4).

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (Case: 501.41069X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

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